



PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

10/622,437

Filing Date

18 July 2003

First Named Inventor

Thomas J. Fogarty

Art Unit

Not Yet Available

Examiner Name

Not Yet Available

Attorney Docket Number

TJF 9001.01 - US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks form PTO/SB/08A 33 References Receipt Postcard	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David A. Levine, Reg. No. 48,821
Signature	
Date	26 August 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	David A. Levine		
Signature		Date	26 August 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service on 26 August 2003 as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


David A. Levine

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Thomas J. FOGARTY et al.

Application Serial No.: 10/622,437

Confirmation No.: Not Yet Assigned

Attorney Docket No.: TJF 9001.01 - US

Filing Date: 18 July 2003

Titled:

EMBOLIZATION DEVICE
AND A METHOD OF USING
THE SAME

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Examiner:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above identified application the documents listed on the attached Form No. PTO/SB/08A (same as Form-1449). Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are necessary.

Applicants would appreciate the Examiner initialing and returning the Form No. PTO/SB/08A, indicating that the information has been considered and made of record herein.

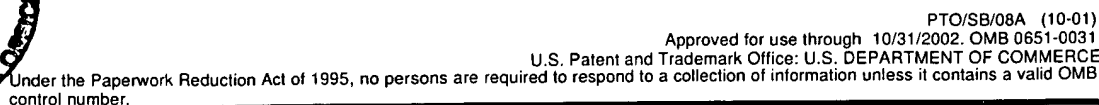
This Information Disclosure Statement is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "D. A. Levine", is written over a horizontal line.

David A. Levine
Registration No. 48,821

P.O. Box 61180
Palo Alto, CA 94306-1180
Telephone: (650) 493-1904
Facsimile: (509) 278-2701



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	1	of	3
-------	---	----	---

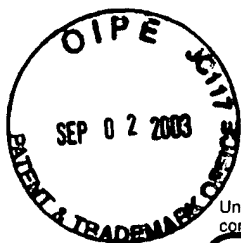
Application Number	10/622,437
Filing Date	07/18/03
First Named Inventor	Thomas J. Fogarty
Art Unit	
Examiner Name	
Attorney Docket Number	TIF 9001.01 - US

Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 4301803	11/24/81	Handa et al.	
		US- 4346712	08/31/82	Handa et al.	
		US- 4994069	02/19/91	Ritchart et al.	
		US- 5133731	07/28/92	Butler et al.	
		US- 5312415	05/17/94	Palermo	
		US- 5226911	07/13/93	Chee et al.	
		US- 5582619	12/10/96	Ken	
		US- 5749894	05/12/98	Engelson	
		US- 5766160	06/16/98	Samson et al.	
		US- 5785679	07/28/98	Abolfathi et al.	
		US- 5823198	10/20/98	Jones et al.	
		US- 5916235	06/29/99	Guglielmi	
		US- 5980514	11/9/99	Kupiecki et al.	
		US- 6015424	01/18/00	Rosenbluth et al.	
		US- 6066149	05/23/00	Samson et al.	
		US- 6093199	07/25/00	Brown et al.	
		US- 6139520	10/31/00	McCrory et al.	
		US- 6146373	11/14/00	Cragg et al.	
		US- 6203779 B1	03/20/01	Ricci et al.	
		US- 6238403 B1	05/29/01	Greene, Jr. et al.	

[illegible]Date
Considered

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/622,437
				Filing Date	07/18/03
				First Named Inventor	Thomas J. Fogarty
				Art Unit	
				Examiner Name	
Sheet	2	of	3	Attorney Docket Number	TJF 9001.01 - US

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 6299619 B1	10/9/01	Greene, Jr. et al.	
		US- 6358556 B1	03-19-02	Ding et al.	
		US-2002/0169497A1	11/14/02	Wholey, et al.	
		US2003/0014075 A1	01/16/2003	Rosenbluth et al.	
		US- 10/301,061	Not Yet Pub.	Kim et al.	Filed on 11/20/02
		US- 10/293,139	Not Yet Pub.	Fogarty et al.	Filed on 11/12/02
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/622,437		
		Filing Date	07/18/03		
		First Named Inventor	Thomas J. Fogarty		
		Group Art Unit			
		Examiner Name			
Sheet	3	of	3	Attorney Docket Number	TJF 9001.01 - US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	<input checked="" type="checkbox"/>	WALTON et al, Inhibition of Prostaglandin E2 Synthesis in Abdomnal Aortic Aneurysms, Circulation, (July 6, 1999), 48 - 54.	
	<input checked="" type="checkbox"/>	TAMBLIAH et al, Provocation of experimental aortic inflammation and dilatation by inflammatory mediators and Chlamydia pneumoniae, Brit. J. Surgery (2001), 88, 935 - 940.	
	<input checked="" type="checkbox"/>	FRANKLIN et al, Uptake of tetracycline by aortic aneurysm wall and its effect on inflammation and proteolysis, Brit. J. Surgery. (1999), 86, 771-775.	
	<input checked="" type="checkbox"/>	XU et al, Sp1 Increases Expression of Cyclooxygenase-2 in Hypoxic Vascular Endothelium, J. Biol. Chem., (2000), 275, 24583-24589.	
	<input checked="" type="checkbox"/>	PYO et al, Targeted gene disruption of matrix metalloproteinase-9 (gelatinase B) suppresses development of experimental abdominal aortic aneurysms J. Clin. Invest., (2000), v.105, no.11, 1641-1649.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.